Grants Status Update

Katey Alatalo
on behalf of the STScI Science Policies Group and GRA

March 19, 2024
JWST Cycle 2 Grants Breakdown

- The Cycle 2 FRC process was able to bring the total requested GO funding below the $60M cap with comfortable margin for DDs and joint programs.

- Eight grants eligible DDT proposals have been accepted in Cycle 2
  - 3 were within the $50k allocation (as described in previous JSTUC presentations)
  - 3 exceeded $50k and elected a FRC review
  - 2 opted not to receive funding

- STScI hosted one JWST interim FRC to review budgets for joint and DD programs on February 29, 2024.
  - 14 programs were reviewed (11 joint proposals + 3 DDs)
What to expect for Cycle 3 Grants

<table>
<thead>
<tr>
<th>Date</th>
<th>Event</th>
</tr>
</thead>
<tbody>
<tr>
<td>February 29, 2024</td>
<td>Release of Science Program</td>
</tr>
<tr>
<td>March 7, 2024</td>
<td>Budget Notification Letters Distributed</td>
</tr>
<tr>
<td>March 20, 2024</td>
<td>JWST Cycle 3 Grants Webinar</td>
</tr>
<tr>
<td>April 11, 2024</td>
<td>JWST Cycle 3 Budget Deadline</td>
</tr>
<tr>
<td>June 3-6, 2024</td>
<td>JWST Cycle 3 Financial Review Committee</td>
</tr>
<tr>
<td>Mid-July</td>
<td>Program Approved Notifications Distributed</td>
</tr>
</tbody>
</table>

- NASA anticipates allocating **$60M** for JWST grants in Cycle 3.
  - Survey programs are recommended not to exceed **$200k** (up from **$125k** in Cycle 2)
  - Pure parallel programs are recommended not to exceed at **$500k** (up from **$400k**)

- JWST Cycle 3 will follow a FRC budget review process
Grants and budgets resources

• The Call for Proposals

• The Budget Proposer Guide
  https://www.stsci.edu/scientific-community/grants-administration/stsci-budget-proposer-guide

• STScI General Grant Provisions
  https://www.stsci.edu/scientific-community/grants-administration

• Grants will run an informational webinar on March 20, 2024 from 3:00-4:30pm
JWST Grants Allocation Working Group Progress Report

Ata Sarajedini (Florida Atlantic University)
Chair, JWST Grants Working Group

March 19, 2024
At the request of the JSTUC and in cooperation with the JWST project, STScI has created a working group designed to study the best way to allocate funding for JWST

- **Chair: Ata Sarajedini** (Florida Atlantic University)
  Katey Alatalo (STScI, ex officio), Susan Neff (GSFC, ex officio), Paula Sessa (STScI Grants), Neill Reid (STScI AD for Science)

- Membership: Rupali Chandar (U. Toledo), Philip Choi (Pomona College), Tuan Do (UCLA), Rose Finn (Siena College), Jamie Kennea (Penn State, *Swift*), Dale Kocevski (Colby College), Lauranne Lanz (The College of New Jersey), Daniel Milisavljevic (Purdue), Rodolfo Montez (*Chandra*), and Casey Papovich (Texas A&M, JSTUC Member)

- The charge: [https://outerspace.stsci.edu/x/8ICdDQ](https://outerspace.stsci.edu/x/8ICdDQ)
JWST Grants Allocation Working Group Charge

- Identify the key principles that should underlie JWST grant funding allocations for the US community

- Codify the advantages and disadvantages of an FRC-style review and of a formulaic budget-allocation process

- Identify the impacts to equity of the current process, and of a formulaic approach, across community demographics, including career stage, type of institution, and DEIA categories

- Determine what factors could be included within a formulaic approach, including the possibility of appealing a budget decision allocation

- Develop specific examples of formulae

- Identify improvements that could be made to the current FRC process, if the committee recommends keeping it

- Provide a consensus recommendation on the preferred grant-allocation process
Progress So Far

• Starting January 24, 2024, we have had 4 bi-weekly online meetings.
• Goal is to finalize the WG report by June 30, 2024, to present to STScI, the JWST Project at GSFC, and the J-STUC
• Draft so far is the result of brainstorming sessions and it is all subject to change so I would rather not share details of ideas that have been suggested so far.
Questions? Comments?